



UNIVERSITY OF GUAM
UNIBETSEDAT GUAHAN

President's Office

February 25, 2009

The Honorable Senator Judith T. Won Pat
Speaker & Chair, Education Committee

The Honorable Senator Vicente "ben" Pangelinan
Chair, Appropriations, Taxation, Banking, Insurance, Retirement and Land Committee
Office of Finance and Budget

I Mina'Trenta Na Liheslaturan Guåhan
155 Hesler Place
Hagåtña, Guam 96910

Re: University of Guam FY 2010 Budgets and Related Appropriation Requests

Dear Speaker Won Pat and Senator Pangelinan:

Buenas yan Hafa Adai. On behalf of the Board of Regents, students, faculty, staff and administrators, the University presents our FY10 budgets for: General Operations; student financial aid to include the Yamashita Educator Corp (YEC) and the Student Financial Aid Program (SFAP); and several, continuing special appropriations. Our University is stronger and better able to educate our students and serve our communities as a result of the support that we receive from the Guam Legislature. We request your support for our FY10 budgets. We can and will do more.

At their meeting of February 19, 2009, the Regents unanimously approved the following budget resolutions attached hereto:

- Resolution No. 09-02 relative to the FY2010 Budgets for the Student Financial Aid Program (SFAP) and Yamashita Educator Corps (YEC); and
- Resolution No. 09-03 relative to the FY2010-2011 General Operations Budget and continuing special appropriation requests.

FY'10 APPROPRIATION REQUESTS

The University respectfully submits the following FY10 appropriation requests:

- (1) \$31.4 million (M) for general operations (i.e., \$28.5M for a no-growth base plus \$2.9M for four growth initiatives)
- (2) \$2.6M for SFAP and \$1.7M for YEC
- (3) \$0.7M of continuing special appropriations, as previously funded.

In addition, the University submits \$46.2M as a planning figure for further discussion about long-term capital investment in our highest priority facilities.

STUDENT ENROLLMENT GROWTH AND OUTCOMES

Student enrollment in Academic Year 2008-09 has reached record levels. The University is the natural choice for 3,387 students registered for Fall Semester '08 (3% growth over the prior year and 3297 for Spring Semester '09 (8.5% growth). Students are taking more credit hours, with Spring '09 showing a 10% increase over prior year. This marks the highest enrollment in the past seven years. We expect these trends to continue, given the economic conditions and university costs in the mainland U.S. This growth puts increased pressure for additional resources on our faculty, academic operations and infrastructure support. Over the last several years the University's appropriation has been flat or cut in real terms, during which time student enrollment grew by 11.6%. Faculty numbers have remained constant for four years. The University is increasingly relying on adjuncts, as it has been difficult to recruit and retain doctoral-level faculty, particularly those with high cost professional degrees, at the non-competitive salaries that can be offered. The information technology (IT) infrastructure, the core of our teaching and operations, is old and needs upgrading to enable technology-enhanced learning and distance education in order to keep our graduates current in today's world.

Students are graduating. In the past year alone the University produced 40 nurses, 85 educators, 64 business men and women, 13 commissioned officers, 35 scientists and 119 others prepared to enter the island's professional workforce. Yet, we have been forced to cap enrollment in nursing and may do so in other high-demand programs without funding for additional faculty for professional workforce development.

The University is affordable with tuition rates less than the average at other U.S. public universities. This allows our students, who otherwise may not have access to higher education, to stay on Guam and become the educated professionals that our island needs to grow and prosper. However, in order to cover for declining appropriations, our tuition rates have increased by 10% per year since 1998, stretching the ability to pay for most of our students. Over two-thirds (67%) of our students are either on financial aid or come from families with income far below the national average. However, with rising tuition, fees and textbook prices, even financial aid does not cover the competitive cost of a UOG education for many.

The University has maintained our primary accreditation from WASC and has specialized accreditation for our professional schools that speaks to their program quality and improvement. The International Assembly for Collegiate Schools of Business (IACBE) accredits the business program at the School of Business and Public Administration. The National Council for the Accreditation of Teacher Education (NCATE) accredits School of Education programs. The National League for Nursing (NLN) accredits the Nursing program. The Council on Social Work Education (CSWE) recently reaffirmed accreditation for the Bachelor of Social Work program. The National Association for Sport and Physical Education accredits the Physical Education teacher preparation program. However, visiting accreditation teams point to financial stability, IT infrastructure and air conditioning systems as weaknesses and ongoing areas of concern.

In FY08, the number of federal grants (i.e., by Catalog of Federal Domestic Assistance number) rose to 109 from 82, just two years ago. The University has earned low-risk

status for federal grants and contracts for three consecutive years. In grants, 27 new or continuing grant awards of \$2.5M were received during the fiscal year (several were multi-year), providing evidence of the University's competitiveness in research and our commitment to community service. However, without additional resources we are at the point where our faculty, Business Office and grants support, IT resources and lab facilities cannot continue to support further growth in the federal awards that bring in over \$27M of outside monies and enable the University to drive the island's economic, environmental and social development.

THE UNIVERSITY'S FINANCIAL POSITION

The University has demonstrated the resilience to accomplish our mission—delivering programs of high academic quality—during persistently turbulent times in an era of scarce resources; to grow federal and student revenues; and usually to find financial balance within the appropriations we have been allotted. Our financial position has improved in five of the last six years.

However, as we present these FY10 appropriation requests, the most significant issue facing the University is the provision of stable, sustainable funding to continue to meet student demand and community needs. For six of the last seven years the Government of Guam has put an allotment holdback on the University's appropriation, which has averaged \$1.5M per year (5%). In FY08, the University reached a breaking point and had the first operational deficit in years of \$1.3M (excluding endowment portfolio changes). In FY09 the University identified the minimum requirements to sustain current operations without any growth. Nevertheless, the budget appropriation was \$2.1M (7%) less than requested and \$1M less than the previous year. Sure, we came together and reapportioned our budgets to live within our means - revenue generation targets were set, energy conservation and other cost savings measures initiated; critical positions and infrastructure funded; and administrative restructuring and program sustainability begun. However, in doing so the University lost much of our capacity to grow and to adequately support many academic programs and investments needed for the future.

In March 2009 the University will host a WASC team, who will pass judgment on our ability to deliver high quality, effective higher education to island students. Here's the context of their visit: in January 2007, a WASC Capacity and Preparatory Review Team visited the University, examining our ability and preparedness to demonstrate educational effectiveness. At that time the institution was at risk, receiving only 50% of our allotment payments, attempting to collect government appropriation receivables that went back to 2005, and facing an 8% mid-year reduction in our budgeted appropriations. After reviewing the recommendations of the visiting team, the WASC Senior Commission stated in its report of July 13, 2007:

“Clearly overshadowing all other institutional capacity issues facing the University is the ongoing and seemingly never ending issue of finance. The institution is once again facing a severe financial crisis as a result of the territorial government's failure to allocate the appropriated dollars to the campus on a timely basis. As a result, the University is required to undertake extraordinary cash management practices in order to assure that it can meet its payroll and pay its vendors. The 2007 visiting team, as visiting teams before it, urged that concentrated attention be given to attempting to achieve financial stability in the face of annual territorial budgetary uncertainty.”

The report recommended that the University work in collaboration with the Guam Legislature and Governor to find financial stability and sustainability, right-size the institution in terms of what the budget can afford, and develop revenue generating initiatives. The report also cautions against major enrollment growth that is not supported by an increase in financial support.

THE UNIVERSITY'S RESPONSE

Since the July 2007 report, progress has been made in responding to the issues raised by WASC. We have worked and planned ahead with DOA and BBMR for 100% payment of our General Fund appropriations receivable from FY06-07-08, less allotment holdbacks. Until recently, DOA has paid relatively stable bi-weekly allotment payments and we are working with them to get that back on track in FY09. University-generated revenues are up. University leaders are meeting collaboratively with the Legislature, Governor's Office and Civilian Military Task Force to address educational plans and needs.

The University community has also held an institution-wide strategic retreat to address our strategic initiatives and financial situation. The retreat included our Board members, students, administrators, faculty and staff. We affirmed our commitment to three exciting institutional initiatives - *Natural Choice*, *UOG Green* and *Leading Change*. Given the economic data and our financial history, we developed a set of scenarios and assumptions that enabled us to re-assess our plans and appropriation requests based on our priorities for student demand, educational effectiveness and community support.

From this retreat, the University has implemented a new system for preparing a budget, and completed two budget cycles using that system. Previously, the University prepared the budget to include all proposed initiatives, then asked the Legislature to fund the entire package. In FY09 the University prepared and presented our appropriation requests in two parts: a) a no-growth base that sustains academic quality, student learning and infrastructure support at current levels; and b) investments for growth tied to strategic goals and in support of public policy priorities. We have done so again for our FY10 budget, knowing that the Legislature and Governor face many competing priorities and unfunded critical government services.

Nevertheless, the University must find financial balance and stability in both FY09 and FY10, while preparing for alternative futures. The University has agreed on what constitutes our base budget and the priorities for academic quality and student learning. We have reallocated indirect funds to infrastructure support and placed limits on the use of non-appropriated funds. A Faculty Senate-led analysis has made initial recommendations on the long-term sustainability of undergraduate programs. The Board has established a new policy, establishing financial reserves for stability and infrastructure renovation/reinvestment, but the FY09 decrease in appropriations and shortfalls in allotment payments have made it unfeasible to implement at this time.

THE UNIVERSITY'S APPROPRIATION REQUEST: GENERAL OPERATIONS

Based on the WASC report recommendations and our strategic retreat, the University has assessed how we will achieve stability, sustainability and growth. To that end we have developed a FY10 general operations budget and appropriations request totaling \$31.4M. We present our request in two-parts:

(1) A No-Growth Base:

The University requests \$28.5M for a "no-growth base". This is an additional \$1.4 million (5%) more than last year's appropriation. The request is the same level of appropriations received in FY08, but almost \$1M less than the FY09 request. The University is living within our means, but struggling to accommodate student enrollment growth and become an economic engine for the island and region. This \$28.5M request is marginally sufficient to sustain academic quality, student learning and infrastructure support. At this "base" appropriation the University can deliver existing program offerings to our current students, support the current levels of federal grants and programs, and carry out our basic mission without substantive growth, when supplemented by revenues from the BOR-approved 10% tuition rate increase. Yet, from this base we must also fund sizeable utility cost increases, higher retirement contributions, normal merit increases, high priority positions to address deficiencies identified by WASC, and the most urgent IT and facility renovations.

(2) Four Investments for Growth:

The University has the potential, but not the funding in our no-growth base appropriation, to drive the island's economic, environmental and social development. In addition the University understands and requests funding for medium-and-longer-term educational infrastructure needs and investments (including degree programs, personnel, facilities, partnerships) that will be required in support of the military build-up. With funding, we can achieve these public policy objectives and move forward on our institutional initiatives of *Natural Choice*, *UOG Green* and *Leading Change*.

In addition to the \$28.5M for the "base", we request a further \$2.9M for an investment in growth to support high priority public policies. In order these are:

- i) \$1M for professional workforce development to train nurses, educators, mental health personnel, environmentalists and accountants. Without the additional funds, we are at our limit to train more of these professionals.
- ii) \$1M for a "Natural Choice" initiative to attract and retain students. Without additional funding, we will be unable to admit additional students in high demand programs and to provide sufficient courses for students to graduate in a timely manner. It includes IT and technology-enhanced student learning, distance education, student recruitment and retention, and academic infrastructure.
- iii) \$0.4M for economic, environmental and social development. This initiative is funded by grants and contracts and indicates our commitment to outreach to address issues of public policy. However, there is need for sufficient "base" funding to generate and support these grants, to support a contract management office, and to provide some matching/seed funds.
- iv) \$0.5M for faculty competitiveness. This represents a phased salary adjustment of 3% for recruitment and retention.

The University presents the above four growth investments as reasonably sized requests that will be undertaken as funding is available.

THE UNIVERSITY'S APPROPRIATION REQUEST: STUDENT FINANCIAL AID (YEC AND SFAP)

(1) Student Financial Aid Program (SFAP):

The University requests a \$2.6M SFAP appropriation for financial aid programs to fund 467 students and meet all legal mandates and policies. This request is essentially a rollover of last year's appropriation. \$0.6M from collections, circulated back into the program, supplement this appropriation. With this funding, higher education becomes accessible and affordable for more students. This funding will help students become the community and government leaders, nurses, health professionals, business managers, public servants and others needed for the future. Most of this funding and these students stay on Guam. The University has prepared this budget request based on actual award expenditures over the past five years. Within this appropriation three Board-approved programs can be funded to provide awards for our best academically performing students (Regent scholarships), in support of our military (ROTC scholarships) and our world-class Marine Laboratory (graduate scholarships).

(2) Dr. Antonio C. Yamashita Educator Corps Program (YEC):

The University requests \$1.7M for YEC to fund 150 undergraduate scholarships for new certified teachers, 20 graduate scholarships for Guidance Counselors & Administrators, and 50 scholarships for current teachers to maintain certification. \$125,000 from collections, circulated back into the program, supplement this appropriation. With a rollover budget of \$1.2M, only 80 scholarships will be available and the recertification program will not be funded.

THE UNIVERSITY'S APPROPRIATION REQUEST: SPECIAL APPROPRIATIONS

The University is requesting \$676K of special appropriations. This request is the same as last year. Included are: \$204K Water & Environmental Research Institute (WERI) Hydrological Survey; \$174K WERI Comprehensive Water Monitoring Program; \$140K Aquaculture Development and Training Center Hatchery; and \$158K Northern and Southern Soil and Water Conservation Programs. These are special projects that address unique community needs using specialized University expertise. All have a good track record of results. They have been requested and supported by the Legislature for years.

THE UNIVERSITY'S LONG-TERM INVESTMENTS: CAPITAL IMPROVEMENT PROJECTS

For discussion, the University submits \$46.2M as a planning figure for capital improvement projects (CIP). The University has identified key capital investments from our Physical Master Plan and recently identified needs that provide the necessary educational capacity for Guam's development. Included are: \$11M new Student Center; \$1.6M Computer Center and Micronesian Area Research Center (MARC) renovation; \$5.7M Science Building renovation; \$8.6M Nursing extension to the Health Science Building; \$4.8M Fine Arts Building renovation; and \$14.5M for the Center for Excellence in Developmental Disabilities, Education, Research and Service (CEDDERS) Building.

CONCLUDING STATEMENT

There are four key points to emphasize in closing.

First, the University needs \$28.5M for a sustainable, no-growth base in order to accommodate student enrollment growth, deliver our basic mission and demonstrate educational effectiveness when the WASC accreditation team arrives in March 2009.

We have done our best to use the appropriation for General Operations wisely, leveraging it to obtain grants and contracts and supplementing it with University-generated revenues as far as we can. We have no excess. The University has shown over the years that an additional \$1.5M (5%) appropriation will be spent responsibly.

Second, the University can and will do more, given additional funding for specific growth investments.

With an additional investment of \$2.9M in four growth investments the University will help drive the highest priority public policies that are critical to Guam's economic, environmental and social development and support the educational needs associated with the military buildup.

Third, the University is an open admissions institution that is recognized for providing high quality, accessible and affordable education for the people of Guam.

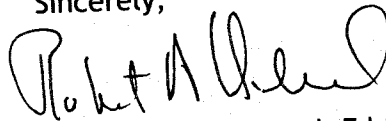
With an investment of \$2.6M in SFAP and \$1.7M in YEC this will remain true for our best and brightest students.

Fourth, the University has renowned research institutes that are providing critical expertise for the community's unique water quality, conservation and ecological needs.

With a continuing investment of \$0.7M, WERI, the hatchery and the soil and water conservation programs will be able to build upon the databases and programs that have proven themselves successful.

The University of Guam recognizes and thanks the members of *I Mina'Trenta Na Liheslaturan Guåhan* and the people of Guam for past and continuing support of our island's land grant university and students. We are a reflection of your continuing efforts on our behalf. *Si Yu'os Ma'ase'.*

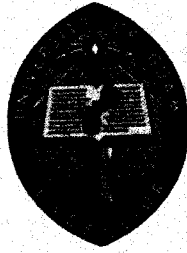
Sincerely,



Robert A. Underwood, Ed.D.
President

cc: The Honorable Felix P. Camacho, Governor of Guam
Members of *I Mina'Trenta Na Liheslaturan Guåhan*
Board of Regents, University of Guam

Enclosures: 1) FY10 University of Guam General Operations Budget and Related Appropriation Requests (General Operations including YEC and Special Appropriation)
2) FY10 Student Financial Aid Program budget and Appropriation Request



UNIVERSITY OF GUAM FY 2010 BUDGET

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**Government of Guam
Fiscal Year 2010 Budget
Department / Agency Narrative**

FUNCTION: _____ EDUCATION _____
DEPT. / AGENCY: _____ UNIVERSITY OF GUAM _____

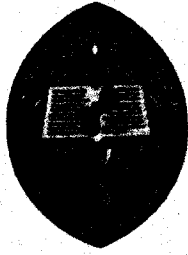
MISSION STATEMENT:

***INA, DISKUBRE, SETBE
TO ENLIGHTEN, TO DISCOVER, TO SERVE***

- The University of Guam is a U.S. regionally-accredited, Land Grant institution. It is dedicated to the search for and dissemination of knowledge, wisdom, and truth.
- The University exists to serve its learners and the communities of Guam, Micronesia, and the neighboring regions of the Pacific and Asia.
- The University prepares learners for life by providing the opportunity to acquire knowledge, skill, attitudes, and abilities through the core curriculum, degree programs, research and outreach.
- At the Pacific crosscurrents of the East and West, the University of Guam provides a unique opportunity to discover and acquire indigenous and global knowledge.

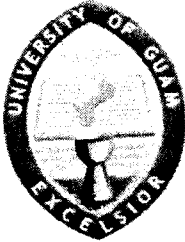
GOALS AND OBJECTIVES:

- 1) The University shall offer advanced knowledge in various areas of resource development to the people of Guam and the region in order to produce leaders and expertise in the different professions for the benefit of island communities.
 - a) The University will provide courses of instruction in degree programs to meet current and future professional workforce demands.
 - b) The University will facilitate the provision of financial assistance opportunities to maximize the ability of students to pursue a degree in higher education.
 - c) The University will continue to provide educational opportunities and address local policy concerns through public service activities as part of its land-grant mission.
- 2) The University shall provide the academic and support services necessary to develop high quality programs and for the maintenance of accreditation.
 - a) University operations will promote student success, increase academic quality and support the day-to-day functions of the University.
 - b) The University will identify performance measures to assess and allocate its academic, research, and service resources in support of student learning.
 - c) The University, and individually accredited programs, shall continue to meet/exceed accreditation standards as established by the responsible accreditation body.
- 3) The University shall conduct research within any or all fields of study as resources permit, and shall provide public service to the people of Guam.
 - a) The University will continue to pursue and obtain additional funding opportunities through research grants, federal funding streams, and private foundations.
 - b) Through public and private partnerships, the University will continue to conduct research in areas that address local and regional needs.

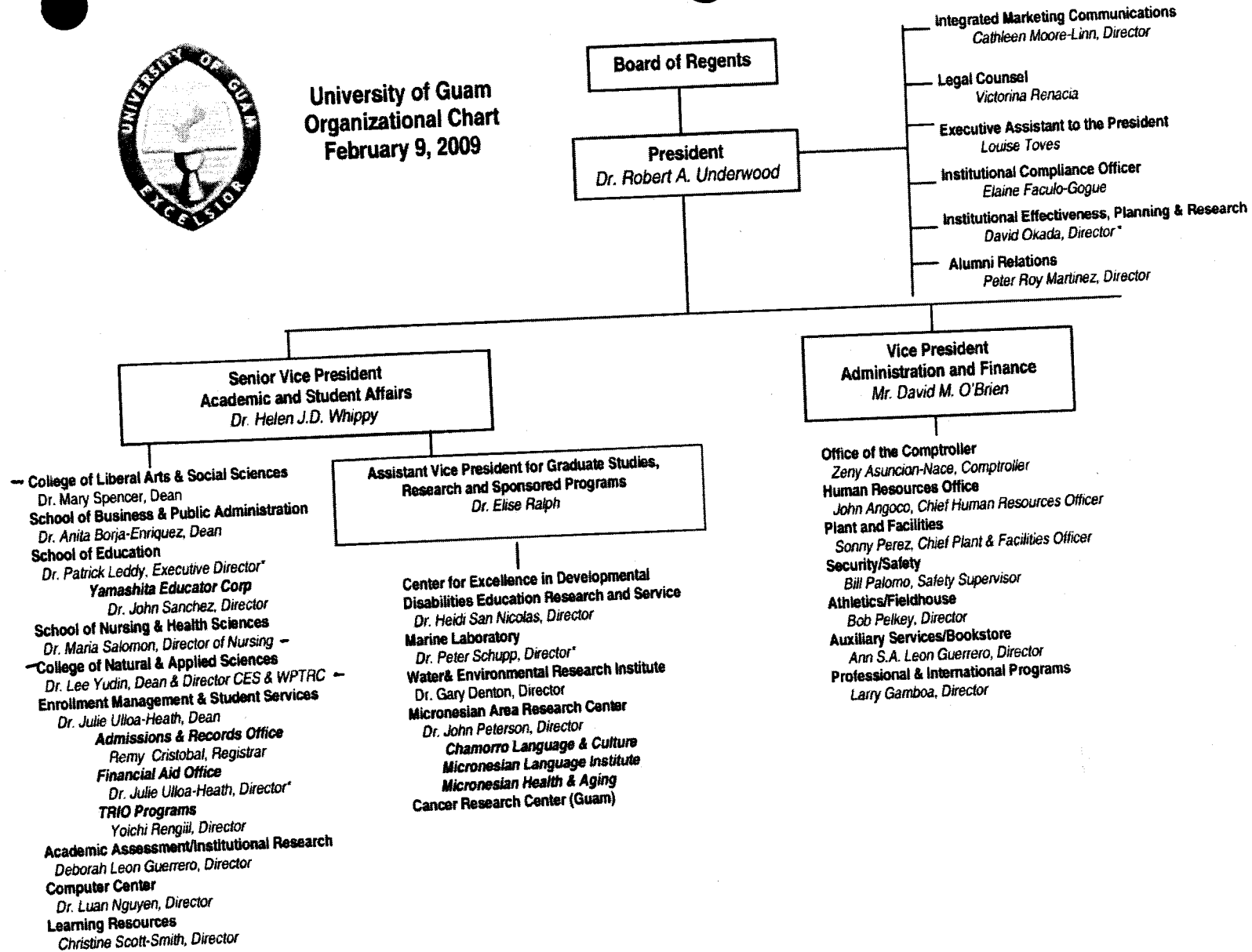


**UNIVERSITY OF GUAM
FY2010 BUDGET REQUEST**

**General
Operations Budget**



**University of Guam
Organizational Chart
February 9, 2009**



Dr. Robert A. Underwood, President

*Acting



UNIVERSITY OF GUAM
UNIBETSEDAT GUAHAN
OFFICE OF THE PRESIDENT

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Telephone: (671) 735-2990 • Fax: (671) 734-2296

February 4, 2009

The Honorable Senator Judith T. Won Pat
Speaker & Chair, Education Committee
I Mina'Trenta Na Liheslaturan Guahan
155 Hesler Place
Hagatna, Guam 96910

The Honorable Senator Vicente "ben" Pangelinan
Chair, Appropriations, Taxation, Banking, Insurance, Retirement and Land Committee
Office of Finance and Budget
I Mina'Trenta Na Liheslaturan Guahan
155 Hesler Place
Hagatna, Guam 96910

RE: University of Guam FY10 Budget

Dear Speaker Won Pat and Senator Pangelinan,

This is to respectfully request a formal extension of the deadline for the submission of the University's FY10 General Operations Budget including the Yamashita Educator Corps Budget and Special Appropriations Budgets and the FY10 Student Financial Aid Program Budget until February 25, 2009. The additional days beyond the statutory February 15 deadline are for the purposes of seeking approval for our budget request with the Board of Regents at their regularly scheduled meeting, which is to be held on February 19.

Your understanding and approval of this request is appreciated. Should you wish to discuss this further, please contact VP David O'Brien or myself at 735-2900.

Sincerely,

Robert A. Underwood, Ed.D.
President

Copy: UOG: Board Chair and Treasurer, VPs, Comptroller



UNIVERSITY OF GUAM
UNIBETSEDAT GUÅHAN
BOARD OF REGENTS

RESOLUTION NO. 09-03

RELATIVE TO APPROVING THE FY2010-11 GENERAL OPERATIONS BUDGETS

WHEREAS, the University of Guam is the primary U.S. Land Grant institution serving the post-secondary needs of the people of Guam and the region, and is accredited by the Western Association of Schools and Colleges (WASC); and

WHEREAS, the governance of the University is vested in the Board of Regents which is responsible for approving, adopting and submitting an annual budget to *I Liheslaturan Guahan*; and

WHEREAS, the University received recommendations on areas of resource needs from the Yamashita Educator Corps (YEC) Council and from the University Planning and Budget Advisory Committee (UPBAC) with representation from the Faculty Senate, Deans Council, Administrative Council, Faculty Union, Staff Council, and Student Government Association; and

WHEREAS, the University has demonstrated commitment to a stable, balanced budget in an atmosphere of declining resources related to the problems facing the economies of the island and the region; and

WHEREAS, the University has agreed upon the goals articulated in its strategic plan and focused its revenues and spending upon the highest priorities within its mission; and

WHEREAS, the University has implemented initiatives that enhance, realign and reshape the institution, strengthening its commitment to student learning, academic quality, institutional efficiency and effectiveness, revenue growth, and cost savings; and

WHEREAS, the University has developed consolidated budgets for FY10-11 focused on academic program quality, operational needs, critical vacancies, strategic growth and initiatives, and priorities associated with institutional compliance and safety issues, revenue generation, continuous improvement, and program and business support; and

WHEREAS, the University presents its FY10 budget requests identifying funding required for: a) A no-growth base that is sufficiently balanced within funding levels to sustain academic quality and student learning including infrastructure support; and b) four growth and investment initiatives in support of public policy priorities which include professional workforce development (teachers, principals, nurses, accountants, etc), natural choice (student recruitment/retention, academic infrastructure, IT, distance education), economic, environment and social development, and faculty competitiveness (salary adjustments benchmarked nationally for recruitment and retention, and support for the increased student enrollment over the last several years); and


WHEREAS, based upon an assessment of the priority needs of the University and upon the recommendations of UPBAC, the YEC Council and the administration, the President recommends the attached FY10 General Operations Budget, including YEC, for Board approval and a preliminary FY11 budget for planning purposes; and

WHEREAS, at their February meetings, the BFIA Committee met to review the budgets, the SASARHD Committee met to review the YEC budget, and the Physical Facilities Committee met to review the capital outlay budget; and the President and these committees now recommend to the Board the attached FY10 General Operations Budget, including YEC, for approval and a preliminary FY11 budget for planning purposes; and

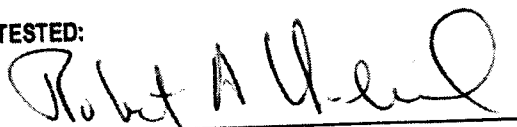
NOW, THEREFORE BE IT RESOLVED, that the University of Guam FY10 General Operations Budget (as attached and including YEC) is hereby approved to be submitted to *I Mina Trenta Na Liheslaturan Guahan* pursuant to law.

BE IT FURTHER RESOLVED, that in an effort to provide a basis for continuity of planning as consistent with best practices, the Board further submits a preliminary FY11 budget to *I Mina Trenta Na Liheslaturan Guahan*.

Adopted this 19th day of February, 2009.


P. Sonny Ada, Chairman

ATTESTED:


Dr. Robert Underwood, Executive Secretary

UNIVERSITY OF GUAM

A. General Operations Budget

SUMMARY OF GENERAL OPERATIONS BUDGET

Revenues		
Expenses		
Balance		
Revenues		
Appropriation Request for No Growth base		
Appropriation Request for Growth Initiatives		
Governor's Injections for Student Scho, capacity & growth		
UOG Higher Education Endowment Fund (Tobacco Fund)		
Subtotal Appropriation request for Gen Ops (excl YEC)		
Appropriation Request for Yamasita Educator Corp (YEC)		
YEC Collections (transfer)		
Tuition Fund Projected Net Revenue		
Federal Matching Funds		
PIP Net Revenue (transfer)		
Total Revenues		
Expenses		
Personnel Expenses		
Existing Personnel		
Salary Increments		
Other Personnel Cost		
Vacant Positions		
Total Personnel Expenses - no growth base		
Operating (Non-personnel) Expenses		
Contracts		
Supplies		
Equipment		
Accreditation		
Miscellaneous		
Utilities		
Library & related IT		
Academic CIP		
Capital Outlay for repair and maintenance		
Subtotal Operating (Non-personnel) Expenses		
Subtotal General Operations Expenses before YEC & Growth Initiatives		
Professional Workforce Development		
Natural Choice		
Economic, Environment and Social Development		
Faculty Competitiveness		
YEC Awards and Expenditures		
Total General Operations Expenses with YEC & Growth Initiatives		
General Operations Balance		

	FY2010 Budget Request	FY2011 First Pass
	45,167,050	46,426,985
	(45,167,050)	(46,426,985)
	\$ (0)	\$ 0
	28,547,749	29,428,722
	2,867,561	2,956,561
	31,415,310	32,385,283
	1,730,532	1,730,532
	125,000	125,000
	10,188,208	10,478,170
	1,508,000	1,508,000
	200,000	200,000
	45,167,050	46,426,985
	(29,509,219)	(30,545,351)
	(200,000)	(200,000)
	(637,192)	(679,994)
	(863,120)	(863,120)
	(31,209,530)	(32,288,465)
	(3,165,750)	(3,165,750)
	(449,317)	(449,317)
	(130,130)	(130,130)
	(45,000)	(45,000)
	(41,391)	(41,391)
	(4,166,859)	(4,216,859)
	(535,980)	(577,980)
	(100,000)	(100,000)
	(600,000)	(600,000)
	(9,234,427)	(9,326,427)
	(40,443,957)	(41,614,892)
	(975,390)	(975,390)
	(1,033,199)	(1,033,199)
	(411,000)	(500,000)
	(447,972)	(447,972)
	(1,855,532)	(1,855,532)
	(45,167,050)	(46,426,985)
	(0)	0

B. Special Appropriations (Continuing)

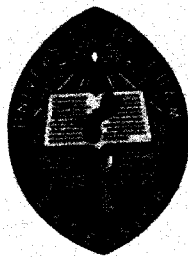
Water and Environmental Research Institute	204,200	204,200
Guam Hydrologic Survey (GHS)	173,948	173,948
Guam Comprehensive Water Monitoring Prog (CWMP)	140,000	140,000
GADTC Hatchery	157,720	157,720
Northern & Southern Soil and Water Conservation Programs		
Total Special Appropriations	\$ 675,868	\$ 675,868

Reapportioned FY10 General Operations Detail	Vac FTE	Vacant Positions	Filled FTE	PERSONNEL	CONTRACTS	SUPPLIES	EQUIP	MISC EXP	UTILITIES	CAPITAL OUTLAY	TOTALS	%	
EXECUTIVE OFFICES			6	615,941	294,637	5,964					916,542	2.27%	
President's Office			0.5	51,286	7,000	786					59,072	0.15%	
Alumni Relations Office			2	127,044	35,000	1,000	10,000	500			173,544	0.43%	
EEO (includes ADA services)			2	182,048	6,000	1,200					189,248	0.47%	
Legal Counsel	0	-	10.5	976,318	342,637	8,950	10,000	500			1,338,405	3.31%	
Executive Office Expenses											706,644	1.75%	
ACADEMIC AND STUDENT AFFAIRS			5	548,444	94,200	15,000	4,000	45,000			100,000	0.25%	
Senior Vice President's Office	1	40,694			100,000						24,521	0.06%	
Assessment			1	24,521							188,128	0.47%	
Faculty Senate			1	188,128				200			292,916	0.72%	
CEDDERS	1	34,741	4	282,136	6,600	1,980	2,000			5,000	928,159	2.29%	
Graduate Studies/OSP/TADEO			11	898,828	21,950	2,381					1,177,676	2.91%	
Micro Area Res Center (MLI, Cham Lang & Culture)			15	1,167,796	9,880						797,892	1.97%	
Marine Lab			10	797,339		553					1,208,012	2.99%	
Water and Environmental Research Institute			12	736,671	453,000	15,341	3,000				6,688,495	16.54%	
Computer Center	3	233,940	84.16	6,606,180	38,823	28,492	9,750	5,250			5,110,008	12.63%	
College of Natural and Applied Sciences	2	87,119	65	5,028,785	48,000	20,000	2,702	10,521			2,117,210	5.23%	
College of Liberal Arts and Social Sciences	1	56,096	25.5	2,090,210	17,000	10,000					2,002,276	4.95%	
School of Business and Public Administration	1	62,592	27.5	1,926,476	54,000	12,800	3,000	6,000			932,181	2.30%	
School of Education	1	49,014	12	853,381	75,000	2,800	1,000			282,980	2,089,468	5.17%	
School of Nursing	2	92,592	25	1,569,089	199,000	16,320	22,079				217,093	0.54%	
UOG Library			3	207,843	8,000	1,250					76,389	0.19%	
Enrollment Management & Student Services-Dean			1	71,889	2,000	1,000	1,500				248,391	0.61%	
Career Placement			3	244,541	1,500	1,500	600	250			89,576	0.22%	
Student Counseling			1	85,876	2,000	1,000	500	200			540,516	1.34%	
Student Life Office			14	522,441	15,000	2,875		200			74,045	0.18%	
Admissions and Records			0.75	69,720	4,000	325					236,752	0.59%	
Student Health	1	40,694	5	235,102		1,250		400			287,980	25,846,345	63.91%
Financial Aid Office	13	697,481	325.91	24,155,393	1,149,953	134,867	50,131	68,021		287,980	25,846,345	63.91%	
Academic and Student Affairs Expenses											278,397	0.69%	
ADMINISTRATION AND FINANCE			3	258,397	15,000	2,000	3,000				1,372,505	3.39%	
Vice President's Office	0.5	32,750	23	1,181,505	152,000	25,000	10,000	4,000			2,227,991	5.51%	
Comptroller's Office	3	67,668	55	2,018,191	140,000	16,000	52,000	1,800			128,952	0.32%	
Utilities and Utilities	1	24,527	1	51,452	55,000	22,500					526,482	1.30%	
Property and Security	1	40,694	9	483,413	16,000	10,000	4,999	12,070			66,732	0.16%	
Human Resources Office			1.5	66,732							67,819	0.17%	
Field House			2	67,819							4,668,876	11.54%	
Auxiliary and Postal Services	5.5	165,639	94.5	4,127,507	378,000	75,500	69,999	17,870					
Administration and Finance Expenses			430.91	29,259,219									
Staffing Pattern											863,120	2.13%	
Other Personnel Costs	18.5	863,120									200,000	0.49%	
Vacancies				200,000							250,000	0.62%	
Salary Increments (FY09)				250,000							160,000	0.40%	
Faculty Pay Adjustments				160,000							15,494	0.04%	
Recruitment Costs				15,494							216,698	0.54%	
CES-PSEP Local Match				216,698							1,705,311	4.22%	
Other Personnel Costs	18.5	863,120		842,192									
Total Other Personnel Costs											230,000	0.57%	
UTILITY COSTS, CAMPUS SUPPLIES AND BUILDING SAFETY IMPROVEMENTS						230,000					168,160	0.42%	
Campus Custodial and Maintenance Supplies						168,160					1,000,000	2.47%	
Security Guard Services						1,000,000				70,000	70,000	0.17%	
Property and Liability Insurance Coverage										100,000	100,000	0.25%	
Academic and Research Internet Access Utility Fund										600,000	600,000	1.48%	
Academic Capital									3,457,128		3,457,128	8.55%	
Capital Outlay and ADA Safety Improvements									79,731		79,731	0.20%	
Power									520,000		520,000	1.29%	
Water / Wastewater									110,000		110,000	0.27%	
Telephone											770,000	6,335,019	15.66%
Hazardous/Metallic Waste/Trash Removal			0		1,168,160	230,000			4,166,859		178,000	550,000	1.36%
Total				245,000	127,000						1,235,980	40,443,957	100%
UTAC Priorities				30,346,410	3,165,750	449,317	130,130	86,391	4,166,859				
Subtotal before YEC & Initiatives	18.5	863,120	431	30,346,410	3,165,750	449,317	130,130	86,391	4,166,859		1,235,980	40,443,957	
President's Initiatives											975,390		
Professional Workforce Development											1,033,199		
Natural Choice											411,000		
Economic, Environment and Social Development											447,972		
Faculty Competitiveness				301,312	59,470	23,000	3,000	1,468,750			1,855,532		
Yamashita Educator Corps Program													
Total with YEC & Initiatives	18.5	863,120	430.91	30,647,722	3,225,220	472,317	133,130	1,555,141	4,166,859	1,235,980	45,167,050		

UTAC Priorities

FY10 PRIORITY	Funding	INITIATIVE	FY09	FY09	FY10 recommend	FY10 recommend	FY11 recommend	FY11 recommend	ASSUMPTIONS	
			reapportion Genl Ops	NAF Budgets	Genl Ops	NAF Budgets	Genl Ops	NAF Budgets		
4 1	Gen Ops Gen Ops	Web Services & Presence	\$ 60	\$ -	\$ 60	\$ -	\$ 60	\$ -	operational expense increased broadband	
		UOG Web	40	-	60	-	60	-		
		IT Interface	-	-	-	-	-	-		
			100	-	120	-	120	-		
3	.5 CC naf, .5 GenOps unfunded GenOps Capital Outlay GenOps Capital Outlay GenOps Capital Outlay .5 CC naf, .5 GenOps .5 CC naf, .5 GenOps	ITRC Infrastructure Investment	20	25	-	-	-	-	critical backup during power outages (estimate) certification FY07/08, replacement FY09 new generator enclosure and connections (estimate) FY09 offices and lab, FY10 operations center	
		Main UPS	-	-	-	-	-	-		
		Fire Suppression Certification	100	-	-	-	-	-		-
		Dedicated Backup Generator Enclosure	150	-	150	-	-	-		-
		CC HVAC	-	-	-	-	75	-		-
		Software Licenses (anti-virus)	7	7	7	7	7	7	increased frequency of attacks & problems	
		Power Conditioners	-	-	30	20	-	-	upgrade existing system	
			277	32	187	27	82	7		
2	.5 colleges naf, .5 Gen Ops	Decentralized IT Support in Academic Units	-	-	-	-	-	-	Recommended priority is dedicated IT support person followed by priorities submitted by units, Genl Ops match NAF up to \$35k	
		SBPA, SONHS, SOE, CLASS, CNAS, EMSS, Sponsored Programs	245	245	245	245	245	245		245
			245	245	245	245	245	245		
5	Gen Ops Gen Ops	Colleague for Academics & Business Processes	105	-	-	-	-	-	student, advisory and business processes esp Business Process Redesign related	
		Release 18 Web-based applications	-	-	110	75	130	75		75
		IT Capacity Development	105	-	110	75	130	75		
4	Gen Ops	Distance Education	53	-	68	-	200	-	DE support team under TADEO, concept plan for discussion Manpower support from existing faculty and staff/ some dedicated	
		Distance Education Resource Support	-	-	-	-	-	-		-
			53	-	68	-	200	-		
Grand Total			\$ 780	\$ 277	\$ 730	\$ 347	\$ 777	\$ 327		
GenOps UTAC Initiatives Funding			510	-	550	-	702	-	Priorities 1, 3, 4, 5	
GenOps Capital Outlay Funding			270	-	180	-	75	-	Priority 2: IT Infrastructure (50/50 match with CC NAF)	
President's Developmental Fund-NAF			-	245	-	245	-	245	Priority 3: Decentralized IT \$35k match per unit (50/50 with Genl Ops)	
Academic Unit NAFs			-	32	-	27	-	7	Priority 2: IT Infrastructure (some 50/50 match with Genl Ops)	
Computer Center- NAF			-	-	-	-	-	-		
SVP - NAF (Priority 2)			-	-	-	-	-	-		

Contractual	\$ 127
Capital Outlay-IT	178
Personnel	245
Total	\$ 550



**UNIVERSITY OF GUAM
FY2010 BUDGET REQUEST**

**Yamashita Educator
Corps (YEC)**

**Student Financial
Assistance Programs
(SFAP)**



UNIVERSITY OF GUAM
UNIBETSEDAT GUAHAN
BOARD OF REGENTS

RESOLUTION NO. 09-02

RELATIVE TO APPROVING THE STUDENT FINANCIAL ASSISTANCE PROGRAM FY2010 BUDGET AND THE YAMASHITA EDUCATOR CORPS PROGRAM FY2010 BUDGET

WHEREAS, the governance and control of the University of Guam is vested in the Board of Regents of the University of Guam; and,

WHEREAS, the provisions of Title 17 of the Guam Code Annotated, Chapter 15, governing the Student Financial Assistance Fund, state that the Student Financial Assistance Fund shall be administered and granted by the Board of Regents in accordance with Public Law 19-37, Section 5 as amended; and


WHEREAS, in accordance with applicable provisions, the Student Affairs, Scholarship, Alumni Relations and Honorary Degree Committee held a meeting on February 5, 2009 and the Budget, Finance, Investment and Audit Committee held a meeting on February 9, 2009, to address the Student Financial Assistance Program FY2010 Budget and the Yamashita Educator Corps Program FY2010 Budget; and

WHEREAS, the Senior Vice President, Academic and Student Affairs; the Dean, Enrollment Management and Student Services; and the Acting Director, Financial Aid Office have all certified that the Student Financial Assistance Program FY2010 Budget and the Yamashita Educator Corps Program FY2010 Budget, as recommended at the above-mentioned committee meetings, is consistent with appropriate Public Laws and the Board of Regents' Rules and Regulations; and,

WHEREAS, as a result of those meetings, the Student Affairs, Scholarship, Alumni Relations and Honorary Degree Committee and the Budget, Finance, Investment and Audit Committee recommend to the Board approval of the Student Financial Assistance Program FY2010 Budget and the Yamashita Educator Corps Program FY2010 Budget.

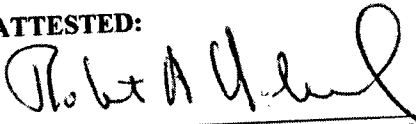
NOW, THEREFORE, BE IT RESOLVED, that the Board of Regents hereby approve the attached Student Financial Assistance Program FY2010 Budget and the Yamashita Educator Corps Program FY2010 Budget.

Adopted this 19th February 2009.



P. Sonny Ada, Chairman

ATTESTED:



Dr. Robert A. Underwood, Executive Secretary

University of Guam GovGuam Yamashita Educator Corps Program

	<u>FY2009 Budget</u>		<u>PROPOSED FY2010 Budget</u>	
A. REVENUE				
YEC Legislative Appropriation	→ 1,238,127	\$	1,730,532	
Collections	\$ 125,000	\$	125,000	
TOTAL REVENUE	\$ 1,363,127	\$	1,855,532	
 B. EXPENDITURES				
<u>Yamashita Educator Corps Awards</u>				
Undergraduate	\$ 861,352	\$	1,316,550	Supports 125 continuing and replacements & 25 HS senior scholarships; Supports Fall, Spring, Summer benefits.
Graduate	\$ 74,070	\$	82,000	Supports 17 continuing and replacements & 3 new scholarships; Supports Fall, Spring, Summer benefits.
Recertification	43,225	\$	70,200	Supports all replacements; Supports Fall, Spring, Summer benefits.
<i>Sub-Total of YEC Awards</i>	<u>978,647</u>		<u>1,468,750</u>	
 <u>Administrative Operations</u>				
<i>Sub-Total of Administrative Operations</i>	384,480		386,782	
TOTAL EXPENDITURES	\$ 1,363,127	\$	1,855,532	
 C. SURPLUS/DEFICIT				
	\$ -	\$	-	
 D. LEGISLATIVE APPROPRIATION REQUEST				
TOTAL APPROPRIATION REQUEST	1,238,127	\$	1,730,532	

*574,400
200*

(500,000)

YEC Continuing Obligations

PROGRAM	RECIPIENTS (#)	COSTS (\$)
Undergraduate	150	\$ 1,316,550
Graduate	20	\$ 82,000
Recertification	50	\$ 70,200
Sub-Total	220	\$ 1,468,750

Supports 125 continuing and replacements & 25 HS senior scholarships; Supports Fall, Spring, Summer benefits.

Supports 17 continuing and replacements & 3 new scholarships; Supports Fall, Spring, Summer benefits.

Supports all replacements; Supports Fall, Spring, Summer benefits.

BREAK DOWN OF CONTINUING + REPLACEMENT

UNIVERSITY OF GUAM FY2010 BUDGET - Budget Appendix

Board Program _____ President Program _____ Academic Program X Date: _____ 5-Jan-09
Department/Unit: Yamashita Educator Corps Signature-Dept Head: _____
President or Vice President (signature) _____ Date: _____

A. OFF-ISLAND TRAVEL (Note: Travel is NOT authorized for FY2009 General Operator interest earned in collections account to be used)

Name & Position of Traveler	Purpose of Travel	Airfare	Per Diem	Fees	Total

Total Travel Approved in FY2009	\$ -
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B. CONTRACTUAL SERVICES (Note: Not to exceed prior year funding except as approved by President or Vice President)

Item	Qty	Cost	Total
XEROX Copier		13000	13,000
Subscription, Postage		500	500
Internet, Website		0	-
Advertising		6000	6000
Administrative Support		28170	28170
Computer maintenance		11800	11,800
Total FY2009		\$ 59,470.00	\$ 59,470.00

Total Contracts Approved in FY2009	\$ 59,470.00
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Justification / Notes: _____ Approval: _____

C. SUPPLIES (Note: Not to exceed prior year funding except as approved by President or Vice President)

Item	Qty	Cost	Total
Office supplies		15000	15,000
Instructional supplies		3000	3,000
Promotional		5000	5,000
Total FY2009		\$ 23,000.00	\$ 23,000.00

Total Supplies Approved in FY2009	\$ 43,172.00
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Justification / Notes: _____ Approval: _____

D. EQUIPMENT: below \$5,000 (Note: Requires President or Vice President Approval)

Item	Qty	Cost	Total
Office furniture		3000	3,000
Total FY2009		\$ 3,000.00	\$ 3,000.00

Total Equipment Approved in FY2009	\$ 3,000.00
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Justification / Notes: _____ Approval: _____

E. MISCELLANEOUS Expense (Note: Requires President or Vice President Approval)

Item	Qty	Cost	Total
Stipends (F.E.A.)			18,500
Utilities (Telephone, Local Milage)			4,000
Total FY2009		\$ 22,500.00	\$ 22,500.00

Total Misc. Exp Approved in FY2009	\$ 19,000.00
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Justification / Notes: _____ Approval: _____

F. Personnel (Note: Requires President or Vice President Approval)

Position Title	Name	FTE	Total
Administrator	John Sanchez	1	
Program Coordinator III	Joann Diego	1	
Program Coordinator I	Doris Quichocho	1	
Program Coordinator I	Theresa Quintnilla	1	
Administrative Aide	Desiree Calvo	1	
Total FY2009		\$ 278,812.00	\$ 278,812.00

Total Capital Outlay Approved in FY2009	\$ 275,338.00
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Justification / Notes: _____ Approval: _____

G. UTILITIES: Power, Water, Telephone (Note: Auxiliary Units to provide budgets for utilities, Comptroller to provide utilities budget for the Operations Budget)

Item	Qty	Cost	Total
n/a	n/a	n/a	-
Total FY2009		\$ -	\$ -

Total Utilities Approved in FY2009	\$ -
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Grand Total \$ 386,782.00

**UNIVERSITY OF GUAM
GOVERNMENT OF GUAM
STUDENT FINANCIAL ASSISTANCE PROGRAM
FY 2010 BUDGET**

	FY2009 Budget	Re-Appropriated FY2009 Budget (Resolution No. 08-32)	FY2010 Budget	
A. REVENUE				
	\$ -	\$ -	\$ -	
Collection Projections*	\$ 585,000	\$ 585,000	\$ 585,000	
SFAP Legislative Appropriation	\$ 3,714,368	\$ 2,535,670	\$ 2,610,670	
Hero Scholarship (Judicial Building Fund)	\$ 20,000	\$ -	\$ 20,000	
TOTAL REVENUE	\$ 4,319,368	\$ 3,120,670	\$ 3,215,670	
B. EXPENDITURES				
SFAP Awards				
Advance High School Placement	\$ 40,000	\$ 40,000	\$ 15,000	
John Quan	70,000	-	17,500	
Merit Award	1,899,559	1,669,082	1,759,121	
Nursing Training Program	484,319	267,630	310,175	+ 300,000
Student Loan	518,000	272,000	230,000	
Professional/Technical Award	268,800	205,000	185,000	
Pedro "DOC" Sanchez	289,182	222,938	100,858	
Hero Scholarship	20,000	0	20,000	
Regent Scholar Program	125,000	0	123,600	
ROTC Program	60,000	0	48,936	
Marine Lab Graduates	50,000	0	36,995	
Sub-Total of SFAP Awards	\$ 3,824,860	\$ 2,676,650	\$ 2,847,185	
Administrative Operations				
Sub-Total of Administrative Operations	\$ 494,508	\$ 444,020	\$ 368,485	See attached.
TOTAL EXPENDITURES	\$ 4,319,368	\$ 3,120,670	\$ 3,215,670	
C. SURPLUS/DEFICIT	\$ -	\$ -	\$ -	
D. LEGISLATIVE APPROPRIATION REQUEST FOR FY 07				
TOTAL APPROPRIATION REQUEST	\$ 3,714,368	\$ 2,535,670	\$ 2,610,670	

NOTE: Tuition increase from \$173 per credit to \$190 per credit effective AY 2009-2010 for undergraduates.
Tuition increase from \$234 per credit to \$258 per credit effective AY 2009-2010 for graduate students.

*FY10 Collections will be applied to FY10 awards.